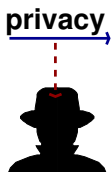


## 2: Authentication



*Message* From sender to receiver

*Privacy*: Eve is curious

*Authenticity*: Eve would like to modify a given message, or forge a fresh one.

*CCA-Privacy*: Eve is curious and can ask for the decryption of ciphertexts chosen by her

Is “(CPA-)Privacy + Authenticity = CCA-Privacy”?

# MACs

## Message Authentication Codes

- Does not consider message privacy
- Must detect forgeries/modifications of message
- For every message  $M_i$ , compute a key-dependent *message-authentication code*  $A = \text{MAC}_K(M_i)$
- For every pair  $(M, A)$ , there is a key-dependent test to check the *validity* of  $(M, A)$   
all pairs  $(M_i, \text{MAC}_K(M_i))$  are valid (others may also be)

# Chosen-Message Existential Forgery

## Attack Model for MACs

**Chosen Message:** For  $i \in \{1, \dots, q\}$ :  
chooses  $M_i$ , receive  $A_i := \text{MAC}_K(M_i)$ .

**Forgery:** Given  $M$ , find valid  $(M, A)$ .

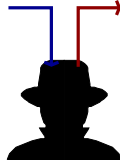
**Existential:** An arbitrary  $M \notin \{M_i\}$  will do.

As usual, Eve's resources are

- $q$ : #Chosen messages  $M_1, M_2, \dots, M_q$ ,
- $\sigma$ : Total message length in #bits or #blocks
- $t$ : Eve's maximal runtime

Also important: Her success probability or rather advantage  $a$

authenticity



# UnForgeability under Chosen-Message Attack

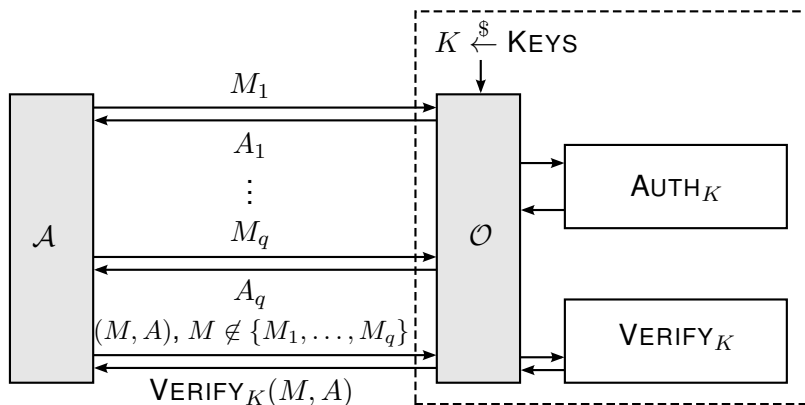
## Definition 13 (UF-CMA Experiment)

- 1 **Querying Phase:** For  $1 \leq i \leq q$ :
  - 1 Eve chooses  $M_i$ .
  - 2 The oracle returns  $A_i = \text{MAC}_K(M_i)$ .
- 2 **Guessing Phase:** Eve chooses  $(M, A)$ ,  $M \notin \{M_1, \dots, M_q\}$ .  
Eve wins iff  $(M, A)$  is valid.

## Definition 14

A MAC is  $(t, q, \sigma, p)$ -UF-CMA-secure if any adversary that runs in time at most  $t$  and asks at most  $q$  queries of total length at most  $\sigma$  has a winning probability of at most  $p$ .

# UnForgeability under Chosen-Message Attack



# A (Pseudo-)Random Function Is a Good MAC

## Theorem 15

*Let  $F : \{0, 1\}^* \rightarrow \{0, 1\}^n$  be a random function, i.e. for all  $X \in \{0, 1\}^*$ , the values  $F(X)$  are independent and uniformly distributed random values. Then, it holds that  $F$  is an  $(\infty, \infty, \infty, 1/2^n)$ -secure MAC.*

## Theorem 16

*Let  $P : \{0, 1\}^* \rightarrow \{0, 1\}^n$  be a  $(t, q, a)$ -secure pseudorandom function, i.e. a  $t$ -time adversary asking  $q$  queries cannot distinguish  $P$  from a random function with an advantage exceeding  $a$ . Then,  $P$  is a  $(t, q, \infty, a + 1/2^n)$ -secure MAC.*

# Replay-Attacks

A Very Simple Example for a Limitations of UF-CMA Security!

- 1 Sara Rich sends  $(M, A)$  to her bank.  
 $M = \textit{Transfer 1000\$ to Anton Poor!};$   
 $A = \text{MAC}_K(M).$
- 2 Anton's friend Eve eavesdrops  $(M, A).$
- 3 The bank compares  $\text{MAC}_K(M)$  and  $A.$
- 4 If  $A = \text{MAC}_K(M)$  and Sara has the money,  
then the bank transfers the money  
else **goto 6!**
- 5 Eve sends  $(M, A)$  to the bank; **goto 3!**
- 6 Now, Sara is poor and Anton is rich.

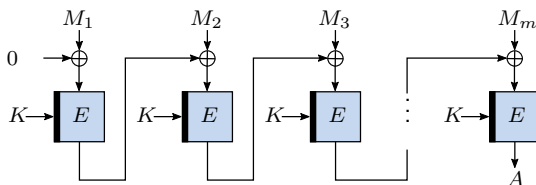
# So is UF-CMA a Practical Security Definition?

- Replay attacks are a serious problem
- UF-CMA security does not deal with replay attacks
- Reason: UF-CMA does not deal with the message context
- The relevant context depends on the application, e.g., Sara might actually make the same transfer more than once (e.g., every month), and the MAC should not prevent that
- Replay attacks must be considered . . . at higher protocol levels



## 2.1: The CBC-MAC

- Let  $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$
- Encrypt  $M = (M_1, \dots, M_m) \in (\{0, 1\}^n)^m$  in CBC mode with a fixed  $C_0 = 0$
- Ignore  $C_0, \dots, C_{n-1}$  and output  $A = C_m$  as authentication tag



$$\text{CBC-MAC}_K(M_1, \dots, M_m)$$

# The Security of the CBC MAC

When all messages have the same length, the CBC-MAC is a *secure* MAC – and even a good PRF:

## Theorem 17

*Consider the CBC-MAC, using a random  $n$ -bit permutation  $E$ . Let  $\sigma$  be the total number of blocks queried.*

*Assume that each message consists of exactly  $m$  blocks (i.e.,  $q = \sigma/m$  queries are made).*

*If  $\sigma \ll 2^{n/2}$ , the advantage of distinguishing the CBC-MAC from a random function is negligible.*

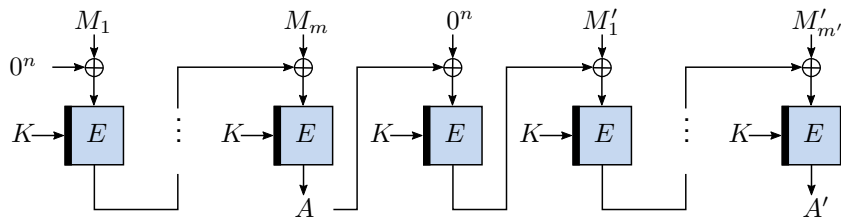
## Remark

The theorem actually holds for all **prefix-free** sets of messages, that means that for all pairs of messages  $(M_1, \dots, M_m)$  and  $(M'_1, \dots, M'_{m'})$ , an  $i \leq \min\{m, m'\}$  exists with  $M_i \neq M'_i$ .

# Some Attacks on the CBC-MAC

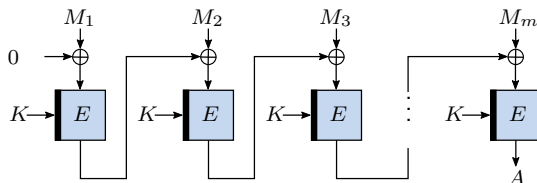
Recall the Problem Session?

- 1 Birthday Attack** with  $\sigma \approx 2^{n/2}$ .
- 2 Splicing Attack** for variable-length messages:



# Protection for Variable-Length Messages

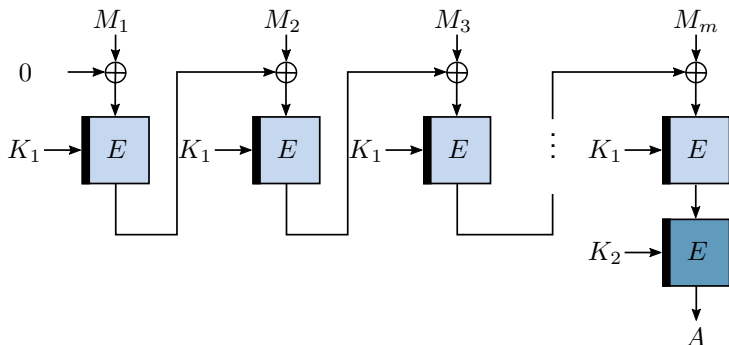
- Either truncate tag  $A$  (e.g., use first  $n/2$  bits of  $A$ , only),
- or prepend the length  $|M|$  to message ( $M_0 := |M|$ ),
- or encrypt tag  $A$  (under an independent key),
- or encrypt last block  $M_m$ .



$$\text{CBC-MAC}_K(M_1, \dots, M_m)$$

# Encrypted CBC-MAC

## Security for Variable-Length Message



$$\text{ECBC-MAC}_{K_1, K_2}(M_1, \dots, M_m) = E_{K_2}(\text{CBC-MAC}_{K_1}(M_1, \dots, M_m))$$

# The Security of the Encrypted CBC-MAC

## Theorem 18

*Consider the encrypted CBC-MAC, using a random permutation  $E_K : \{0, 1\}^n \rightarrow \{0, 1\}^n$ . Let  $\sigma$  denote the number of queried blocks of an adversary. If  $\sigma \ll 2^{n/2}$ , then the advantage of distinguishing ECBC-MAC from a random function is negligible for any adversary.*

# How Secure is the Encrypted CBC-MAC Really?

- **Good:** Without either

- recovering the key  $K$  (making  $\approx 2^k$  queries to  $E$  or  $D$ )
- or querying the MAC with  $\sigma \approx O(2^{n/2})$  blocks in total,

Eve cannot distinguish “real” from “random” with significant advantage.

- **Bad:** On the other hand,  $\approx 2^k$  queries to  $E$  or  $\approx 2^{n/2}$  blocks to the MAC are sufficient to gain a significant advantage.

# CBC-MAC with one Single Key: CMAC

**2003:** Published by Iwata and Kurosawa as *One-Key MAC* (OMAC)

**2005:** Standardized by the NIST as *Cipher-Based MAC* (CMAC)

**Approach:** Encrypt the last block  $M_m$ , actually, XOR  $M_m$  to a key-dependent secret constant



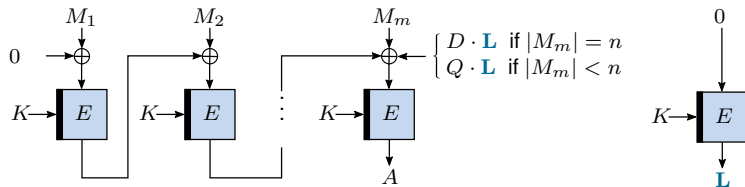
# CMAC

Set  $\mathbf{L} := E_K(0)$ ,  $\mathbf{D} := 2 \cdot \mathbf{L}$ ;  $\mathbf{Q} := 2^2 \cdot \mathbf{L}$  (multiplication in  $\text{GF}(2^n)$ ), pad the final message block and XOR it to a key-dependent constant:

$$M'_m := \begin{cases} M_m \oplus D & \text{if } |M_m| = n \\ (M_m \parallel 10^{n-c-1}) \oplus Q & \text{if } |M_m| = c < n. \end{cases}$$

and apply the CBC-MAC:

$$\text{CMAC}_K(M_1, \dots, M_m) := \text{CBC-MAC}_K(M_1, \dots, M_{m-1}, M'_m).$$



# Security of CMAC

## Theorem 19

*Consider the CMAC, using a random permutation  $E_K : \{0, 1\}^n \rightarrow \{0, 1\}^n$ . If the total message length (in blocks) is  $\sigma \ll 2^{n/2}$ , the advantage of distinguishing CMAC from a random function  $\{0, 1\}^* \rightarrow \{0, 1\}^n$  is negligible.*

## Corollary 20 (Tweakable CMAC)

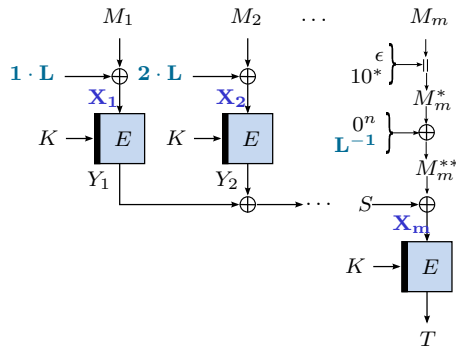
*Let  $t \in \{0, 1\}^n$ . Define  $\text{CMAC}_K^t(M) := \text{CMAC}_K(t \parallel M)$ . If  $\sigma \ll 2^{n/2}$ ,  $\text{CMAC}^0$ ,  $\text{CMAC}^1, \dots$ , are good independent PRFs.*

## 2.2: The PMAC – a Parallelizable MAC

Black and Rogaway, 2002

```

1:  $S \leftarrow 0^n$ 
2:  $L \leftarrow E_K(0^n)$ 
3:  $R \leftarrow L^{-1}$ 
4: for  $i \leftarrow 1..m - 1$  do
5:    $X_i \leftarrow M_i \oplus (i \cdot L)$ 
6:    $Y_i \leftarrow E_K(X_i)$ 
7:    $S \leftarrow S \oplus Y_i$ 
8: if  $|M_m| < n$  then
9:    $M_m^* \leftarrow M_m \parallel 10^*$ 
10:   $M_m^{**} \leftarrow M_m^* \oplus R$ 
11: else
12:   $M_m^* \leftarrow M_m$ 
13:   $M_m^{**} \leftarrow M_m^*$ 
14:   $X_m \leftarrow S \oplus M_m^*$ 
15: return  $T \leftarrow E_K(X_m)$ 
  
```



# Security of PMAC

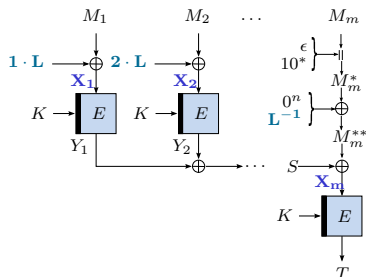
## Theorem 21

*Consider PMAC, using a random permutation  $E_K : \{0, 1\}^n \rightarrow \{0, 1\}^n$ . If the total message length (in blocks) is  $\sigma \ll 2^{n/2}$ , the advantage of distinguishing the PMAC from a random function  $\{0, 1\}^* \rightarrow \{0, 1\}^n$  is negligible.*

# A Very Sketchy Security Proof for PMAC

## Two Cases

- Assume  $\sigma \ll 2^{n/2}$
- Replace  $E_K$  by random function (PRP-PRF switching)
- Consider two different messages  $(M_1, \dots, M_{m-1}, M_m)$  and  $(M'_1, \dots, M'_{m'-1}, M'_{m'})$
- **Case 1:**  $m = m'$  and  $M_i = M'_i$  for  $1 \leq i \leq m - 1$  thus  $S = S'$  and  $M_m \neq M'_m$
- **Case 2:** All other message pairs.
- **Prove:**  $\Pr[\mathbf{X}_m = \mathbf{X}'_{m'}] \approx 0$



# A (Very Sketchy) Security Proof for PMAC (2)

## Case 1

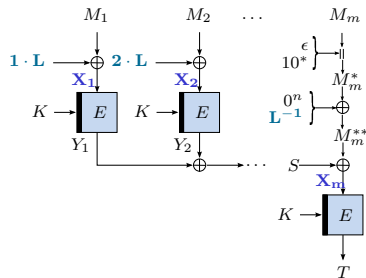
- Remember:  $S = S'$
- Both or neither padded:

$$M_m^{**} \neq M'_m{}^{**}$$

and thus  $\mathbf{X}_m \neq \mathbf{X}'_m$ .

- One padded:

$$\begin{aligned} \mathbf{X}_m = \mathbf{X}'_m &\Rightarrow M_m^{**} = M'_m{}^{**} \\ &\Rightarrow M_m^* \oplus \mathbf{R} \neq M'_m{}^* \end{aligned}$$



Exactly one  $\mathbf{L} = \mathbf{R}^{-1}$  matches.

# A (Very Sketchy) Security Proof for PMAC (3)

## Case 2

We expect  $\mathbf{X}_i \neq \mathbf{X}'_j$ , except when  $i = j$  and  $M_i = M_j$ .

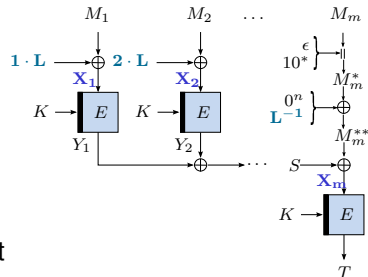
- For a pair of case-2 messages, we expect

$$\{\mathbf{X}_i \mid 1 \leq i < m\} \neq \{\mathbf{X}'_j \mid 1 \leq j < m'\}$$

- Thus, there is at least one random value  $Y_i$  or  $Y'_j$ , such that either  $S$  or  $S'$  depends on that random value, but the other one doesn't.

If so, we can treat  $S$  and  $S'$  as independent random values, and so are  $\mathbf{X}_m$  and  $\mathbf{X}'_m$ . Thus

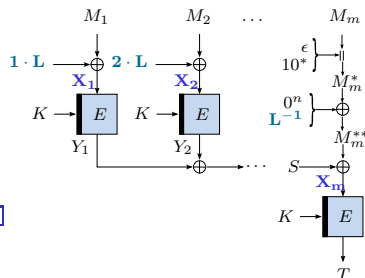
$$\Pr[\mathbf{X}_m = \mathbf{X}'_m] \approx 0.$$



# A (Very Sketchy) Security Proof for PMAC (4)

## Conclusion

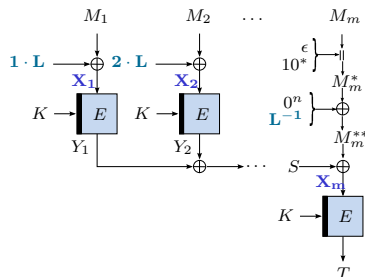
For any two messages  $M$  and  $M'$ , we have  $X_m \neq X'_m$  with high probability. Then, their authentication tags are independent random values since we model  $E_K$  as a random function.  $\square$





# PMAC in Practice

- We cheated a little when describing PMAC
- Where I wrote  $i \cdot L$ , PMAC actually computes  $g_i \cdot L$ .
- $g_i$  is the  $i$ -th Gray-code word.
- For all  $i$ , the Hamming distance between  $g_i$  and  $g_{i-1}$  is 1.
- This simplifies the computation of  $g_i \cdot L$  from  $g_{i-1} \cdot L$  and significantly improves the efficiency
- Nevertheless, a sequential implementation of PMAC is slightly slower than CMAC.
- But PMAC can be arbitrarily parallelized, much unlike CMAC.



## 2.3: MACs Based on Universal Hash Functions

Consider the following scheme:

- Public prime  $p$
- Secret key  $(b, c)$  with  $b \in \mathbb{Z}_p^*$  and  $c \in \mathbb{Z}_p$
- Message  $x \in \mathbb{Z}_p$
- Authentication tag  $a \in \mathbb{Z}_p$ :

$$a = \text{MAC}_{b,c}(x) = h(x) = bx + c \pmod{p}.$$

**How secure is this scheme in the UF-CMA sense?**

(Consider  $q \in \{0, 1, 2, 3, \dots\}$  oracle queries.)

# Why Are Our Computations Modulo $p$ ?

Recall the computation

$$a = \text{MAC}_{b,c}(x) = h_{b,c}(x) = bx + c \bmod p.$$

- 1 Why must  $p$  be a prime? Wouldn't  $p = 2^w$  be much nicer?
- 2 Or could we replace arithmetic in the finite field  $\mathbb{Z}_p$  by computations in any other finite field? Maybe even  $\text{GF}(2^w)$ ?

# Authenticating $\leq q$ Messages

- Public prime  $p$
- Secret key  $K = (b, c_1, \dots, c_q) \in (\mathbb{Z}_p^*)^{q+1}$
- Message counter  $i$
- Messages  $x_i \in \mathbb{Z}_p$
- Authentication tag  $a_i \in \mathbb{Z}_p$ :

$$a_i = \text{MAC}_K(x_i, i) = h_{b, c_i}(x_i) = bx_i + c_i \pmod{p}.$$

## Definition 22 (UF-CMA with Message Counter)

- 1 **Query Phase:** For  $1 \leq i \leq q$ :
  - 1 Eve sends  $x_i$ .
  - 2 The oracle returns  $a_i = \text{MAC}_K(x_i, i)$ .
- 2 **Guessing Phase:** Eve chooses  $(x, a, j)$  with  $x \notin \{x_1, \dots, x_q\}$  and  $j \in \{1, \dots, q\}$ .  
 Eve wins iff  $(x, a, j)$  is valid.

## Theorem 23

$(bx_i + c_i \pmod{p})$

*Eve's success probability is at most*

$$\frac{1}{p-1}.$$

# Authenticating “Almost Any Number” of Messages

- Public prime  $p$
- Secret key  $b \in \mathbb{Z}_p$
- Message counter  $i$
- Secret random function  $F : \mathbb{N} \rightarrow \mathbb{Z}_p$   
(e.g., instantiated using block cipher  $E$  and secret  $K$ )
- Messages  $x_i \in \mathbb{Z}_p$
- Authentication tags  $(a_i, b_i)$ :

$$a_i = bx_i + F(i).$$

We will discuss the security of this approach later.

## 2.4: Universal Hash Functions

### Definition 24 (Universal Hash Functions)

Consider the finite set of inputs  $M$ , of outputs  $A$ , and a set  $H$  of hash functions  $M \rightarrow A$ . Randomly choose  $h \in H$ .

$H$  is  **$\epsilon$ -almost-universal**, ( $\epsilon$ -AU) if for all  $m_1 \neq m_2 \in M$  holds

$$\Pr[h(m_1) = h(m_2)] \leq \epsilon.$$

$H$  is  **$\epsilon$ -almost- $\Delta$ -universal** ( $\epsilon$ -A $\Delta$ U) if for all  $m_1 \neq m_2 \in M$ ,  $d \in A$  holds

$$\Pr[h(m_1) - h(m_2) = d] \leq \epsilon.$$

$H$  is  **$\epsilon$ -almost-strongly-universal** ( $\epsilon$ -ASU), if for all  $m_1 \neq m_2 \in M$  and  $a_1, a_2 \in A$  holds

$$\Pr[h(m_1) = a_1 \text{ und } h(m_2) = a_2] \leq \epsilon.$$

Universal: Carter, Wegman 1979; Stinson 1992.

Almost- $\Delta$ -Universal: Krawczyk 1994; Stinson 1995.

Almost-Strongly-Universal: Wegman, Carter 1981; Stinson 1992.

# Fundamental Properties of Universal Hash Functions

## Theorem 25

Let  $H$  be a nonempty set of functions  $h : M \rightarrow A$ .

- 1  $H$  is 1-AU, 1- $A\Delta U$  and 1-ASU.
- 2 If  $H$  is  $(\epsilon/|A|)$ -ASU then it is also  $\epsilon$ - $A\Delta U$ .
- 3 If  $H$  is  $\epsilon$ - $A\Delta U$ , then it is also  $\epsilon$ -AU.
- 4  $H$  is at most  $(1/|A|)$ - $A\Delta U$ .
- 5  $H$  is at most  $(1/|A|^2)$ -ASU.

# Examples

Let  $p$  be a prime, and  $b \xleftarrow{\$} \mathbb{Z}_p^*$  and  $c \xleftarrow{\$} \mathbb{Z}_p$  independent secret keys.

**1**  $H_1$  is a set of functions  $\mathbf{h}(\mathbf{x}) = \mathbf{c} \bmod \mathbf{p}$

$H_1$  is not  $\epsilon$ -AU for any  $\epsilon < 1$

**2**  $H_2$  is a set of functions  $\mathbf{h}(\mathbf{x}) = \mathbf{bx} \bmod \mathbf{p}$

$H_2$  is  $\epsilon$ -A $\Delta$ U with

$$\epsilon \leq \frac{1}{p-1}.$$

$H_2$  is not  $\epsilon'$ -ASU for any  $\epsilon' < \frac{1}{p-1}$

**3**  $H_3$  is a set of functions  $\mathbf{h}(\mathbf{x}) = \mathbf{bx} + \mathbf{c} \bmod \mathbf{p}$

$H_3$  is  $\epsilon$ -ASU with

$$\epsilon \leq \frac{1}{p(p-1)}.$$



# Combination of Almost-Universal Hash Functions

## Theorem 26 (Combination of Almost-Universal Sets of Functions)

Let  $F = \{f : M \rightarrow A_f\}$  be an  $\epsilon_f$ -AU set of functions.

Let  $G = \{g : M \rightarrow A_g\}$  be an  $\epsilon_g$ -AU set of functions.

Then there exists a set  $H_1$  of functions  $h : M \rightarrow (A_f \times A_g)$  such that

- $H_1$  is  $(\epsilon_f \cdot \epsilon_g)$ -AU
- and  $|H_1| = |F| \cdot |G|$ .

Let  $M = A_f$ . Then, there exists also a set  $H_2$  of functions  $h : M \rightarrow A_g$  s. t.

- $H_2$  is  $(\epsilon_f + \epsilon_g)$ -AU
- and  $|H_2| = |F| \cdot |G|$ .

# From Universal Hashing to Information-Theoretically Secure MACs

- An  $(\epsilon/|A|)$ -ASU set of functions  $H$  can directly be used as a MAC, **if one chooses a new key  $h \in H$  for each new message.** Eve succeeds with probability at most  $\epsilon$ .
- Alternative: **Wegman-Carter Construction (W-C):**
  - $H$ : set of functions  $h : M \rightarrow A$
  - Random values  $c_1, \dots, c_q \in A$
  - Random  $h \stackrel{\$}{\leftarrow} H$
  - The authentication tag for the  $i$ -th message  $m_i$  is computed as

$$a_i = h(m_i) + c_i$$

(here “+” represents a group operation over  $A$ )

We will study this construction in the UF-CMA scenario with a message counter.

# Information-Theoretical Security of the Wegman-Carter Construction

## Theorem 27 ( $\epsilon$ -A $\Delta$ U Suffices for the Security of W-C)

*If  $H$  is  $\epsilon$ -A $\Delta$ U, an adversary can succeed with probability at most  $\epsilon$ .*

## Theorem 28 ( $\epsilon$ -AU Is Insufficient)

*Assume that  $H$  is only  $\epsilon$ -AU and  $p$ -A $\Delta$ U for some  $p > \epsilon$ . This means, there exist  $m_1 \neq m_2 \in M$  and  $d \in A$  with  $\Pr[h(m_1) - h(m_2) = d] \geq p$ . Then, an adversary can succeed with probability  $p > \epsilon$ .*

# Complexity-Theoretically Secure MACs

- The Wegman-Carter construction is essentially the “encryption of  $h(m_i)$  using a one-time-pad”  $\implies$  each new message  $m_i$  needs a new key  $c_i$ .
- We can just as well apply “conventional” encryption, at the cost of abandoning information-theoretical security.
- Frequently used  $(m_i, i, a_i)$  with  $a_i = h(m_i) + F_K(i)$ , where
  - $h$  is from an  $\epsilon$ -A $\Delta$ U set of functions  $H$
  - $F_K$  is a pseudorandom function depending on a secret key  $K$ .
- Note that  $\epsilon$ -AU is insufficient for  $H$ !

# Why Use Such Complexity-Theory-Secure MACs at All?

- A random  $h \in H$  possesses properties that do not depend on any (unproven) assumption
- On many platforms,  $h \in H$  can run much faster (or with much less chip space) than “classical” cryptographic functions.
- We no longer need a key whose length is proportional to the number of messages we authenticate.
- Since  $F_K$  is called only once for each (potentially long) message, one may employ a function with a very high security margin.

## 2.5: Polynomial Hashes

- Finite field  $\mathbb{F}$
- Set of keys:  $K \subseteq \mathbb{F}$
- Secret key  $k \in K$
- Fixed message length  $\ell$  blocks
- Message  $m_1, \dots, m_\ell$

$$H_k(m_1, \dots, m_\ell) := m_1 \cdot k^1 + m_2 \cdot k^2 + \dots + m_\ell \cdot k^\ell = \sum_{1 \leq i \leq \ell} m_i \cdot k^i.$$

- “Horner Scheme”: ( $n$  multiplications and  $n - 1$  additions in  $\mathbb{F}$ )
  - 1:  $y \leftarrow m_\ell \cdot k$
  - 2: **for**  $i \leftarrow \ell - 1$  **down to** 1 **do**
  - 3:      $y \leftarrow k \cdot (y + m_i)$
  - 4: (Invariant:  $y = km_i + k^2m_{i+1} + \dots + k^{\ell-i+1}m_\ell$ )
  - 5: **return**  $y$

# The Fundamental Theorem of Polynomial Hashing

## Theorem 29

A polynomial hash  $H$  is  $\epsilon$ -A $\Delta$ U with

$$\epsilon \leq \frac{n}{|K|}.$$

- Note:  $H$  is completely insecure if messages of different lengths are allowed:

$$H(m_1, \dots, m_n) := H(m_1 \dots, m_n, 0).$$

- Handling messages of different lengths needs additional precautions.
- Even if done so, the *maximum* message length  $\ell$  is a crucial security parameter. As it will turn out soon, the  $\leq$ -bound above is actually tight ( $\rightarrow$  “polynomial attack”).

# GHASH

McGrew, Viega, 2004

- Field  $\text{GF}(2^n)$
- Define: A family of hash functions  $H$  is called  $\epsilon$ -almost-XOR-universal ( $\epsilon$ -AXU) if for  $h \xleftarrow{\$} H$  and all  $m_1, m_2, d$

$$\Pr[h(m_1) \oplus h(m_2) = d] \leq \epsilon$$

(renaming  $\epsilon$ -A $\Delta$ U for the special case of  $\text{GF}(2^n)$ )

- Secret key  $H \in \text{GF}(2^n)$ , fixed message length  $\ell$ :

$$\text{GHASH}_H(m_1, \dots, m_\ell) = \bigoplus_{1 \leq i \leq \ell} m_i H^i$$

- Fundamental Theorem: GHASH is  $\epsilon$ -AXU with  $\epsilon \leq \ell/2^n$



# POLYP

Krovetz, Rogaway, 2000

- Finite field  $\mathbb{Z}_p$
- Subset  $K \subseteq \mathbb{Z}_p$ .
- For random  $k \xrightarrow{\$} K$ ,  $\text{POLYP}_k$  is defined as

$$\text{POLYP}_k(m_1, \dots, m_\ell) = m_1 k^1 + m_2 k^2 + \dots + m_\ell k^\ell = \sum_{1 \leq i \leq \ell} m_i k^i.$$

- Fundamental Theorem: POLYP is  $\epsilon$ -A $\Delta$ U with

$$\epsilon \leq \frac{\ell}{|K|}.$$

# Messages of Different Lengths

## POLYQ

- Fix a maximum message length  $\ell$ .
- Choose a key  $k \xleftarrow{\$} K \subseteq \mathbb{Z}_p$ .
- For a message of length  $m \leq \ell' \leq \ell$ ,  $\text{POLYQ}_k$  is defined as follows:

$$\begin{aligned} \text{POLYQ}_k(m_1, \dots, m_{\ell'}) &= \text{POLYP}_k(m_1, \dots, m_{\ell'}, \mathbf{1}) \\ &= \mathbf{b}^{\ell+1} + \text{POLYP}_k(m_1, \dots, m_{\ell'}) \end{aligned}$$

### Theorem 30

If messages consist of at most  $\ell$  values from  $\mathbb{Z}_p$ ,  $\text{POLYQ}$  is  $\epsilon$ - $\Delta U$  with

$$\epsilon \leq \frac{\ell + 1}{|K|}.$$

# “Good” Finite Fields for POLYQ

POLYQ  $w$ ;  $p =$  Largest  $w$ -Bit Prime

- POLYQ32:  $p = 2^{32} - 5$ ; observe

$$2^{32} \equiv 5 \pmod{p}$$

$$2^{33} \equiv 10 \pmod{p}$$

$$\vdots$$

$$D \cdot 2^{32} \equiv 5D \pmod{p}$$

- Restrict key  $k$  by  $k \in K = \{0, \dots, 2^{29} - 1\}$ .  
If the message is at most  $n$  values long, then

$$\epsilon = (\ell + 1)/2^{29}$$

(not too good, but OK for some applications)

- Efficient on most 32-bit and 64-bit machines
- POLYQ64 with  $p = 2^{64} - 59$ : less efficient, but smaller  $\epsilon$

# Attacks on Polynomial Hashes

$$H_k(m_1, \dots, m_n) = m_1k^1 + m_2k^2 + \dots + m_nk^n = \sum_{1 \leq i \leq n} m_i k^i.$$

- We know, this is  $\epsilon$ -A $\Delta$ U with  $\epsilon \leq n/|K|$ .
- But can we “guess” messages  $m_1, m_2$  with a likely difference  $c = h(m_1) - h(m_2)$ ?
- Or is there anything else, we can do to break a Wegman-Carter construction, using  $H$ ?

# The Nonce-Reuse Attack

## Attacking Wegman-Carter MACs with a “Two-Time-Pad”

- Consider a polynomial hash

$$H_k(m_1, \dots, m_\ell) = \sum_{1 \leq i \leq \ell} m_i k^i = m(k).$$

- Eve does not know the secrets  $k$  and  $r$ , but she receives

- $A = H_K(m) + r$  and

- $A' = H_K(m') + r$

for  $m = (m_1, \dots, m_\ell)$  and  $m' = (m_1, \dots, m_\ell)$  under her control

- Eve would like to find  $K$ . How should she choose  $m$  and  $m'$ ?

# The Polynomial Attack

Choose  $m$  and  $m'$ , and  $c$  such that  $\Pr[H_k(m) - H_k(m') = c] = \ell/|K|$

- Choose an arbitrary message  $m = (m_1, \dots, m_\ell)$ . Any such message defines a polynomial  $m(x) = \sum_{1 \leq i \leq \ell} m_i x^i$  with

$$H_k(m_1, \dots, m_\ell) = \sum_{1 \leq i \leq \ell} m_i k^i = m(k).$$

- Guess  $\ell$  keys  $k_1, k_2, \dots, k_\ell$  from  $K$ .  
We will succeed, if  $k \in \{k_1, k_2, \dots, k_n\}$ .
- Compute the polynomial  $p$  with  $p(x) = (k_1 - x)(k_2 - x) \cdots (k_\ell - x)$ .  
This has degree  $\ell$ , and  $p(x) = 0 \Leftrightarrow x \in \{k_1, \dots, k_\ell\}$ .
- Determine  $p_0, \dots, p_\ell$ , with  $p(x) = \sum_{1 \leq i \leq \ell} p_i x^i$ .

## The Polynomial Attack (2)

- Choose  $m' = (m_1 + p_1, m_2 + p_2, \dots, m_\ell + p_\ell)$  and  $c = p_0$ .
- Now

$$\begin{aligned}H_k(M) - H_k(m') &= m(k) - m'(k) \\ &= m(k) - (m(k) + p(k) - p_0) \\ &= -p(k) + p_0.\end{aligned}$$

- If  $k \in \{k_1, k_2, \dots, k_\ell\}$ , then  $p(k) = 0$  and thus

$$H_k(M) - H_k(m') = p_0.$$

# Another Practical Example: Poly-1305-AES

Bernstein, 2005

- Universal hash function POLYP130 with prime  $p = 2^{130} - 5$ :

$$\text{POLYP130}_k(m_0, \dots, m_{\ell-1}) = \sum_{0 \leq i < \ell} m_i k^{\ell-i-1} \pmod{p}.$$

- Input blocks  $m_i$  are 128-bit values  $m_i \in \{2^{128}, \dots, 2^{129} - 1\}$ .
- Since  $m_i \geq 2^{128} \neq 0$ , messages of different lengths are not a security issue (no need for POLYQ130)
- Nonce  $N$  (“number used once”), e.g., a counter
- $\text{POLY-1305-AES}((k, k'), m, N)$   
 $= \text{POLYP130}_k(m) + \text{AES}_{k'}(N) \pmod{2^{128}}$ .
- To improve efficiency: set 22 bits of  $k$  to zero
- Conclusion from Theorem 29:  
 If messages consist of at most  $n$  128-bit blocks, then POLYP130 is  $\epsilon$ -A $\Delta$ U with  $\epsilon \leq \frac{n}{2^{106}}$ .